



RAYMOND A. JOAO, ESQ.
122 BELLEVUE PLACE
YONKERS, NEW YORK 10703
(914) 969-2992

FAX COVER SHEET

To: The United States Patent and Trademark Office

From: Raymond A. Joao, Esq.

Date: November 19, 2006

Fax No.: 571-273-2885


No. Pages: 14 (including cover)

Re: ISSUE FEE TRANSMITTAL LETTER AND REQUEST TO APPLY
PREVIOUSLY PAID ISSUE FEE AND PUBLICATION FEE TO
THE NEW NOTICE OF ALLOWANCE - U.S. Patent
Application Serial No. 10/055,116

To Whom It May Concern:

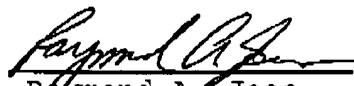
Please find transmitted herewith an ISSUE FEE TRANSMITTAL LETTER AND REQUEST TO APPLY PREVIOUSLY PAID ISSUE FEE AND PUBLICATION FEE TO THE NEW NOTICE OF ALLOWANCE for filing in the above-identified application.

Respectfully Submitted,


Raymond A. Joao
Reg. No. 35,907



I hereby certify that this correspondence is being transmitted via facsimile transmission to the United States Patent and Trademark Office at 571-273-2885 on November 19, 2006.


Raymond A. Joao

RJ500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYMOND A. JOAO
SERIAL NO. : 10/055,116
FILED : JANUARY 22, 2002
FOR : APPARATUS AND METHOD FOR PROVIDING SHIPMENT
INFORMATION
EXAMINER : V. TRIEU
GROUP : 2612
ALLOWED : OCTOBER 13, 2006
CONFIRMATION NO.: 7371

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

ISSUE FEE TRANSMITTAL LETTER AND REQUEST TO APPLY PREVIOUSLY
PAID ISSUE FEE AND PUBLICATION FEE TO THE NEW
NOTICE OF ALLOWANCE

Sir:

Applicant submits herewith the following papers for
entry in the above-identified application:

1. ISSUE FEE TRANSMITTAL FORM PTOL-85;

2. Copy of Office of Petitions Decision correspondence, mailed May 22, 2006;
3. STATEMENT OF NEW DETERMINATION OF SMALL ENTITY STATUS, FOR PAYMENT OF ISSUE FEE, PURSUANT TO 37 C.F.R. §1.27(g); and
4. COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE.

As noted above, Applicant submits herewith an ISSUE FEE TRANSMITTAL FORM PTOL-85 for entry in the above-identified application. Applicant hereby requests to apply the Issue Fee of \$700.00 and the Publication Fee of \$300.00, which fees were previously paid in the above-identified application, to the New Notice of Allowance and Issue Fee(s) Due, mailed October 13, 2006.

Please note that the Issue Fee of \$700.00 and the Publication Fee of \$300.00 were previously paid and were submitted on March 21, 2006. Payment of the Issue Fee was acknowledged by the U.S. Patent and Trademark Office in the Office of Petitions Decision correspondence, mailed May 22, 2006. Applicant submits herewith a copy of the Office of Petitions Decision correspondence, mailed May 22, 2006. Please also note that the ISSUE FEE TRANSMITTAL FORM PTOL-85 indicates that no Publication Fee is due.

In view of the above, Applicant respectfully requests that the previously paid Issue Fee of \$700.00 and the previously paid Publication Fee of \$300.00 be applied towards the payment of the Issue Fee and the Publication Fee, respectively, for the New Notice of Allowance and Issue Fee(s) Due, mailed October 13, 2006.

Applicant also submits herewith a STATEMENT OF NEW DETERMINATION OF SMALL ENTITY STATUS, FOR PAYMENT OF ISSUE FEE, PURSUANT TO 37 C.F.R. §1.27(g). Applicant is a Small Entity and, therefore, Small Entity Status is entitled to be asserted for the above-identified application.

Applicant also submits herewith a COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE for entry into the above-identified application.

Applicant respectfully requests that the above-identified papers, and Applicant's request that the previously paid Issue Fee and the previously paid Publication Fee be applied to the New Notice of Allowance and Issue Fee(s) Due,

mailed October 13, 2006, be entered into the above-identified application.

Respectfully Submitted,



Raymond A. Joao
Reg. No. 35,907

November 19, 2006

Raymond A. Joao, Esq.
122 Bellevue Place
Yonkers, New York 10703
(914) 969-2992

O I P E

11/19/2006 18:23 FAX 914 969 2992

RAYMOND A. JOAO

007/014

NOV 19 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

RAYMOND A. JOAO, ESQ.
122 BELLEVUE PLACE
YONKERS NY 10703

COPY MAILED

MAY 22 2006

OFFICE OF PETITIONS

In re Application of
Raymond Anthony Joao
Application No. 10/055,116
Filed: January 22, 2002
Attorney Docket No. RJ500

ON PETITION

This is a decision on the petition, filed May 19, 2005, under 37 CFR 1.313(c)(2) to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on March 27, 2006, in the above-identified application cannot be refunded. If, however, the above-identified application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.¹

Telephone inquiries should be directed to the undersigned at (571) 272-3208.

The examiner of Technology Center AU 3621 will consider the request for continued examination under 37 CFR 1.114.


Karen Creasy
Petitions Examiner
Office of Petitions

¹ The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Issue Fee Transmittal Form PTOL-85(b), which includes the following language thereon: "Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or re-apply any previously paid issue fee to the application identified above." Petitioner is advised that, whether a fee is indicated as being due or not, the Issue Fee Transmittal Form **must** be completed and timely submitted to avoid abandonment. Note the language in bold text on the first page of the Notice of Allowance and Fee(s) Due (PTOL-85).



I hereby certify that this correspondence is being transmitted via facsimile transmission to the United States Patent and Trademark Office at 571-273-2885 on November 19, 2006.


Raymond A. Joao

RJ500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYMOND A. JOAO
SERIAL NO. : 10/055,116
FILED : JANUARY 22, 2002
FOR : APPARATUS AND METHOD FOR PROVIDING SHIPMENT
INFORMATION
EXAMINER : V. TRIEU
GROUP : 2612
ALLOWED : OCTOBER 13, 2006
CONFIRMATION NO.: 7371

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

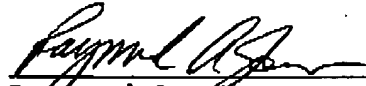
STATEMENT OF NEW DETERMINATION OF SMALL ENTITY STATUS, FOR
PAYMENT OF ISSUE FEE, PURSUANT TO 37 C.F.R. §1.27(g)

Sir:

Applicant is a Small Entity and, therefore, Small
Entity Status is entitled to be asserted for the above-

identified application.

Respectfully Submitted,

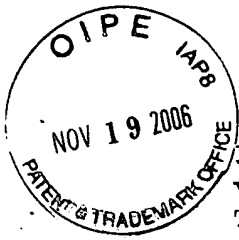


Raymond A. Joao

Reg. No. 35,907

November 19, 2006

Raymond A. Joao, Esq.
122 Bellevue Place
Yonkers, New York 10703
(914) 969-2992



I hereby certify that this correspondence is being transmitted via facsimile transmission to the United States Patent and Trademark Office at 571-273-2885 on November 19, 2006.


Raymond A. Joao

RJ500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYMOND A. JOAO
SERIAL NO. : 10/055,116
FILED : JANUARY 22, 2002
FOR : APPARATUS AND METHOD FOR PROVIDING SHIPMENT
INFORMATION
EXAMINER : V. TRIEU
GROUP : 2612
ALLOWED : OCTOBER 13, 2006
CONFIRMATION NO.: 7371

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Sir:

This is a Comments On Statement Of Reasons For
Allowance in the above-identified application. Entry of this

Comments On Statement Of Reasons For Allowance is respectfully requested.

Applicant respectfully submits that the prior art does not disclose or suggest the present invention, as defined by each of the allowed pending Claims 21, 22, 24, and 27-43.

Regarding independent Claim 21, Applicant respectfully submits that the prior art does not disclose or suggest an apparatus, comprising: a shipment conveyance device, wherein the shipment conveyance device is associated with a shipment, and further wherein the shipment conveyance device is at least one of a shipping container, a pallet, and a tote; a memory device, wherein the memory device is located in, on, or at, the shipment conveyance device, wherein information regarding the shipment is stored in the memory device, and further wherein the information regarding the shipment includes a description of a good, product, or item, being shipped via the shipment conveyance device, and at least one of origination information, sender information, shipper information, destination information, receiver information, handling instruction information, delivery instruction information, invoice information, packing slip information, delivery time information, and payment instruction


information, regarding the shipment; a global positioning device, wherein the global positioning device is located in, on, or at, the shipment conveyance device, and further wherein the global positioning device determines a position or location of the shipment conveyance device; a processing device, wherein the processing device processes at least one of information regarding the shipment and information regarding the shipment conveyance device in response to an occurrence of an event or in response to a request for information regarding the shipment or the shipment conveyance device, wherein the processing device generates a message containing information regarding the position or location of the shipment conveyance device and information regarding at least one of the occurrence of an event, a status of the shipment, a shipment temperature, and an impact or force on the shipment conveyance device; and a transmitter, wherein the transmitter is located in, on, or at, the shipment conveyance device, wherein the transmitter transmits the message to a communication device associated with at least one of an individual or entity, a sender of the shipment, a receiver of the shipment, a carrier of the shipment, and an individual or entity authorized to receive information regarding the shipment or the shipment conveyance device.

Regarding independent Claim 41, Applicant respectfully submits that the prior art does not disclose or suggest an apparatus, comprising: a shipment conveyance device, wherein the shipment conveyance device is at least one of a shipping container, a pallet, and a tote; a memory device, wherein the memory device is located in, on, or at, the shipment conveyance device, wherein information regarding a shipment is stored in the memory device, and further wherein the information regarding a shipment includes a description of a good, product, or item, being shipped via the shipment conveyance device, and at least one of origination information, sender information, shipper information, destination information, receiver information, handling instruction information, delivery instruction information, invoice information, packing slip information, delivery time information, and payment instruction information, regarding the shipment; a global positioning device, wherein the global positioning device is located in, on, or at, the shipment conveyance device, and further wherein the global positioning device determines a position or location of the shipment conveyance device; a processing device, wherein the processing device is located in, on, or at, the shipment conveyance device, wherein the processing device processes information regarding the shipment conveyance device in

response to an occurrence of an event or in response to a request for information regarding the shipment conveyance device, wherein the processing device generates a message containing information regarding the position or location of the shipment conveyance device and information regarding at least one of the occurrence of an event, a status of the shipment, a shipment temperature, and an impact or force on the shipment conveyance device; and a transmitter, wherein the transmitter is located in, on, or at, the shipment conveyance device, wherein the transmitter transmits the message to a communication device associated with at least one of an individual or entity, a sender of the shipment, a receiver of the shipment, a carrier of the shipment, and an individual or entity authorized to receive information regarding the shipment or the shipment conveyance device.

Entry of this COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE is respectfully requested.

Respectfully Submitted,


Raymond A. Joao
Reg. No. 35,907

November 19, 2006
Raymond A. Joao, Esq.
122 Bellevue Place
Yonkers, New York 10703
(914) 969-2992